

# A Translation Framework for Multimodal Spoken Units Discovery

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# Overview

## Motivation

### Multimodal word discovery (MWD)

What is MWD?

A Translation Model for MWD

### From MWD to Multimodal Phoneme Discovery (MPD)

A Translation + Compression Model for MPD

# Machine vs Human in Learning Speech

## ▶ *Machine:*

- ▶ Needs large amount of transcribed speech more than 99% of world's languages have
- ▶ Does not transfer well across different domains
- ▶ Learns from only speech and text

## ▶ *Human:*

- ▶ Needs only noisy, untranscribed speech for training
- ▶ Generalizes well
- ▶ Learns from a wide range of information sources besides speech

# Overview

## Motivation

### Multimodal word discovery (MWD)

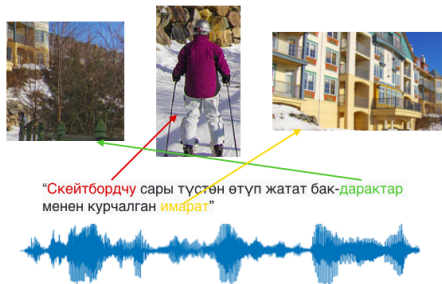
What is MWD?

A Translation Model for MWD

### From MWD to Multimodal Phoneme Discovery (MPD)

A Translation + Compression Model for MPD

# Multimodal Word Discovery (MWD): Learn to listen by looking



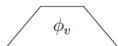
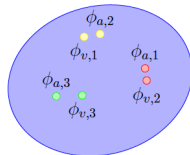
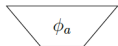
- ▶ Discover word-like units by associating the visual objects with visual words in the speech

# Association mechanism 1: retrieval-based model



"A skateboarder passes a yellow building surrounding by trees"

$x_1$     $x_2$     $x_3$



$y_1$     $y_2$     $y_3$



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<sup>a</sup>recall at 10 for speech-to-image retrieval

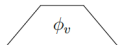
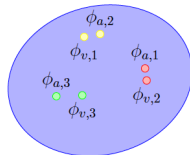
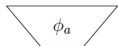
<sup>b</sup>recall at 10 for image-to-speech retrieval

# Association mechanism 1: retrieval-based model



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- **Mismatch of objective:** Perform well in retrieval, but badly in word discovery

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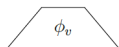
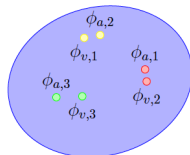
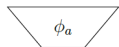
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# Association mechanism 1: retrieval-based model



"A skateboarder passes a yellow building surrounding by trees"

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- ▶ **Mismatch of objective:** Perform well in retrieval, but badly in word discovery
- ▶ **Under-constrained:** Learning good sentence embedding  $\neq$  learning good word embedding

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<sup>a</sup>recall at 10 for speech-to-image retrieval

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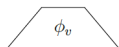
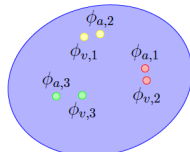
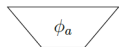


# Association mechanism 1: retrieval-based model



"A skateboarder passes a yellow building surrounding by trees"

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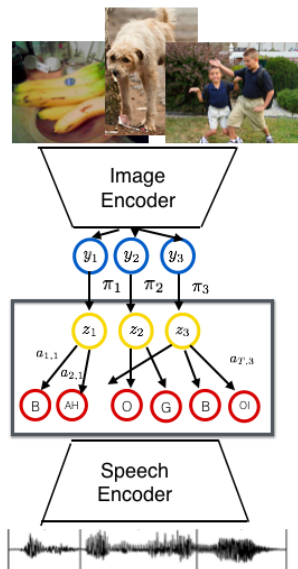
- ▶ **Mismatch of objective:** Perform well in retrieval, but badly in word discovery
- ▶ **Under-constrained:** Learning good sentence embedding  $\neq$  learning good word embedding
- ▶ **Results on SpeechCOCO (Harvard et al. 2017):**

	S2I@10 <sup>a</sup>	I2S@10 <sup>b</sup>	Alignment F1
(Harwath et al. 2018)	57	59	37
Random	1	1	20

<sup>a</sup>recall at 10 for speech-to-image retrieval

<sup>b</sup>recall at 10 for image-to-speech retrieval

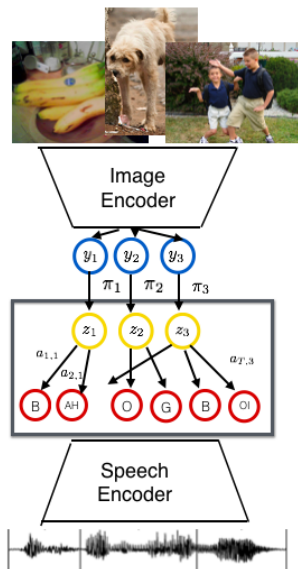
## Association mechanism 2: Probabilistic Translation Model



- *Image Encoder*: maps ROIs to visual concept probabilities

Figure: MWD Translator

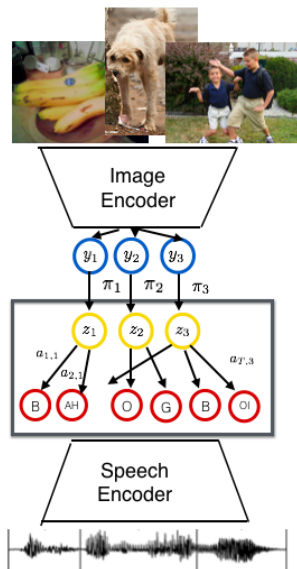
## Association mechanism 2: Probabilistic Translation Model



- ▶ *Image Encoder*: maps ROIs to visual concept probabilities
- ▶ *Speech Encoder*: maps spoken segments to phone probabilities

Figure: MWD Translator

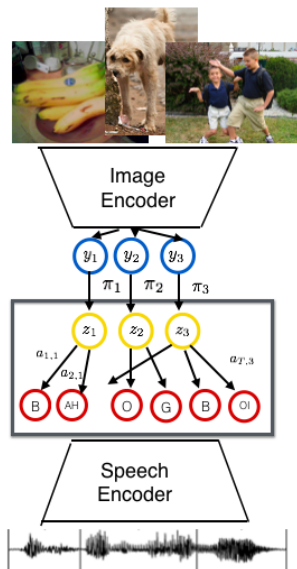
## Association mechanism 2: Probabilistic Translation Model



- ▶ *Image Encoder*: maps ROIs to visual concept probabilities
- ▶ *Speech Encoder*: maps spoken segments to phone probabilities
- ▶ *Hidden Markov Model Aligner*: Learn the alignment from the phone and concept probability vectors

Figure: MWD Translator

## Association mechanism 2: Probabilistic Translation Model



- ▶ *Image Encoder*: maps ROIs to visual concept probabilities
- ▶ *Speech Encoder*: maps spoken segments to phone probabilities
- ▶ *Hidden Markov Model Aligner*: Learn the alignment from the phone and concept probability vectors
- ▶ *Training objective*: maximum likelihood with expectation maximization algorithm

Figure: MWD Translator

# Evaluation Metrics

- ▶ **Alignment F1**: Harmonic mean between the alignment recall and precision:
  - ▶ **Alignment recall**: the average probability that a word is aligned correctly over each true position
  - ▶ **Alignment precision**: the average probability that a word is aligned correctly given each predicted position
- ▶ **Retrieval Recall@1, 5, 10**: The empirical probability that the model retrieves a matching image/caption after 1, 5, 10 trials respectively

## Experimental Results

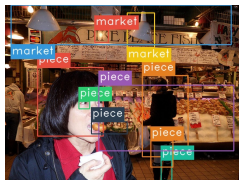
	S2I @1	@5	@10	I2S @1	@5	@10
Cosine+TDNN (Harwath et al. 2018)	<b>12</b>	<b>38</b>	<b>57</b>	<b>12</b>	<b>41</b>	<b>59</b>
SMT	3	13	20	0.1	0.5	1
SMT (phones)	7	24	36	4	16	28

**Table:** Speech-to-image (S2I) and image-to-speech (I2S) retrieval performance of various systems on SpeechCOCO

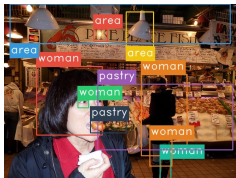
	Alignment Recall	Alignment Precision	Alignment F1
Cosine+TDNN	54.9	27.8	36.9
SMT	<b>60</b>	<b>30</b>	<b>40</b>

**Table:** Word discovery performance of various systems on SpeechCOCO; Results are evaluated only with words that describe one of the 80 concepts

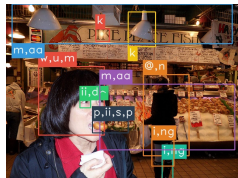
# Visualization of Discovered Words



(a) audio-level  
cosine+TDNN



(b) audio-level SMT



(c) phone-level SMT

**Figure:** Word discovery results of different systems on the image-caption pair “a woman eating a piece of pastry in a market area.” The texts are not available in the first two figures during training and are shown for ease of understanding.



# Overview

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### Multimodal word discovery (MWD)

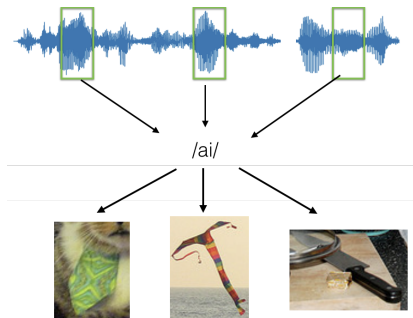
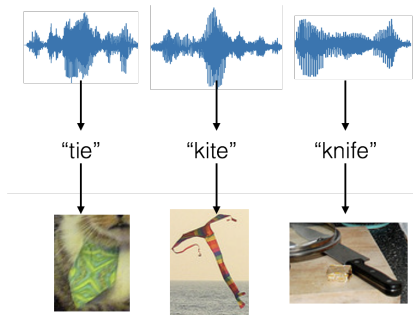
What is MWD?

A Translation Model for MWD

### From MWD to Multimodal Phoneme Discovery (MPD)

A Translation + Compression Model for MPD

# From MWD to Multimodal Phoneme Discovery (MPD)



## Word:

- ▶ Unit most directly related to meaning
- ▶ Large vocabulary size, large sample complexity
- ▶ Unreliable for understanding unseen words, not universal across languages

## Phoneme:

- ▶ Smallest meaning-preserving unit
- ▶ Low vocabulary size, relatively low sample complexity
- ▶ Shared among words, more universal across languages

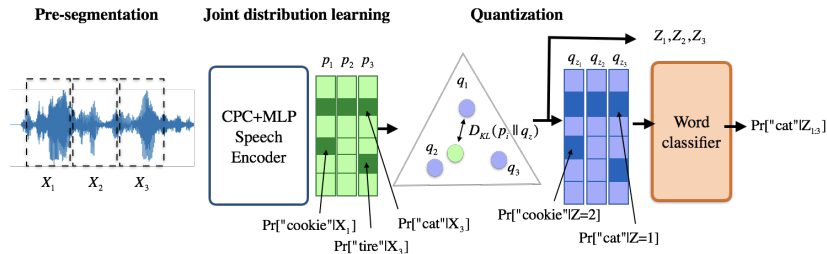
# Acoustic Units (AU) as Information Bottleneck (IB)

- ▶ **The information bottleneck objective** (Tishby et. al., 1999): For Markov chain  $Z - X - Y$ ,  $Z$  is an information bottleneck of  $(X, Y)$  if  $(P_{Z|X}^*, P_{Y|Z}^*)$  is the optimal solution of

$$\begin{aligned} \max_{P_{Z|X}, P_{Y|Z}} \quad & I(Z; Y) \\ \text{s.t.} \quad & I(Z; X) \leq I_0. \end{aligned}$$

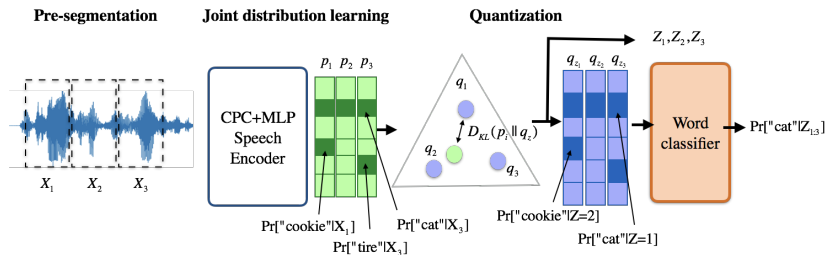
- ▶ **MAUD as special cases of IB:**
  - ▶  $X = [X_1, \dots, X_T]$  is the sequence of spoken segments,  $Y \in \mathcal{Y}$  is the visual word and  $Z = [Z_1, \dots, Z_T] \in \{1, \dots, K\}^T$  is the AU sequence represented by  $X$ .
  - ▶ MWD:  $T$  is the number of words,  $I_0 \approx H(\text{Word}) \times T$
  - ▶ MPD:  $T$  is the number of phonemes,  $I_0 \approx H(\text{Phoneme}) \times T$

# Information Quantizer (IQ): A Translation + Compression model for MPD



- ▶ **Pre-segmentation:** Either use an algorithm based contrastive predictive coding (CPC) representation (Kreuk et al. 2020), or simply use framewise representation from a convolutional neural net (CNN)

# A Translation + Compression Model for MPD



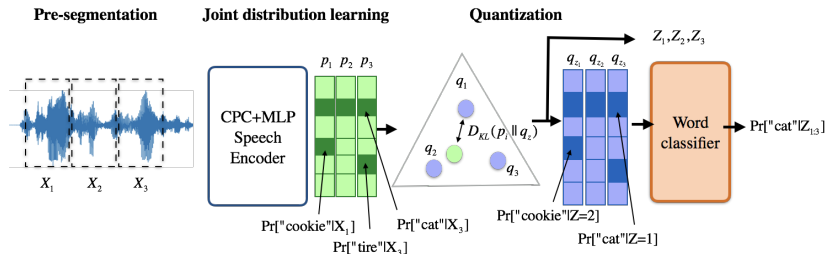
## ► Joint distribution learning objective:

$P_{Y=y|X=x}^\theta := \Pr[Y = y|X = x]$  is learned by a multilayer perceptron (MLP);  $q(\cdot) : \Delta^{|\mathcal{Y}|} \rightarrow \{q_1, \dots, q_K\} \subset \Delta^{|\mathcal{Y}|}$  is some quantizer on the probability simplex

$$\min_{\theta, q(\cdot)} \sum_{i=1}^n \log q_{y_i}(P_{Y|X=x_i}^\theta) \quad (\text{with ST}^1) \quad \text{or} \quad \sum_{i=1}^n \log P_{Y|X}^\theta(y_i|x_i) \quad (\text{w/o ST})$$

<sup>1</sup>Straight-through gradient

# A Translation + Compression Model for MPD



## ► Quantization (IB) learning objective:

$$\min_{\theta, q(\cdot)} \sum_{i=1}^n D_{KL}(\text{sg}[P_{Y|X=x_i}^\theta] || q(P_{Y|X=x_i}^\theta)) + D_{KL}(P_{Y|X=x_i}^\theta || \text{sg}[q(P_{Y|X=x_i}^\theta)])$$

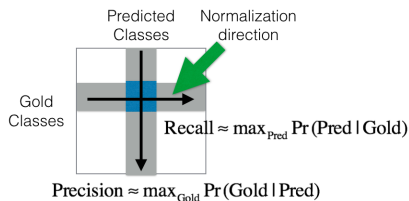
# Datasets

- ▶ **Visual-word only datasets:** Created by cutting out visually salient noun segments from the utterances using forced alignments
  - ▶ **Flickr audio [Harwath & Glass 2015]:**
    - ▶ Visual words extracted from Flickr30kEntities with frequency at least 50 ( $|\mathcal{Y}| = 258$ ) over the whole dataset
    - ▶ Training: 23741 words
    - ▶ Test: 2491 words
  - ▶ **LibriSpeech:**
    - ▶ Same set of visual words as Flickr audio
    - ▶ Training: 42015 words from train-clean-100 and train-clean-360
    - ▶ Test: 595 words from dev-clean
- ▶ **Whole-sentence dataset:**
  - ▶ Training: LibriSpeech with three subsets of words:
    - ▶ Visual words: same set as Flickr,  $|\mathcal{Y}| = 224$
    - ▶ Visual words + top-300 words:  $|\mathcal{Y}| = 524$
    - ▶ Visual words + top-600 most frequent words:  $|\mathcal{Y}| = 824$
  - ▶ **TIMIT:** the whole dataset excluding SA utterances, 5040 utterances

# Evaluation Metrics

- ▶ **Token F1:** Harmonic mean between token recall and precision

- ▶ **Token recall:** the average probability of the most likely cluster over each phoneme
- ▶ **Token precision:** the average probability that the most likely phoneme over each cluster



- ▶ **Normalized Mutual Information (NMI):** Computed using the empirical joint distribution between the predicted (clusters) and gold classes (phonemes) as

$$NMI := \frac{I(\text{Pred}, \text{Gold})}{\text{avg}(H(\text{Pred}), H(\text{Gold}))}$$

- ▶ **Boundary F1:** between each predicted phoneme boundary times and the gold boundary times with a tolerance of 20ms



# Phoneme Discovery Results: Visual Word-only Datasets

Flickr Audio Word	Token Precision	Recall	F1
Continuous representation			
CPC+k-means (Nguyen et al. 2020)	31.3	39.8	35.1
k-means	31.6	43.5	36.6
Discrete representation			
Gumbel VIB (Alemi et al. 2017)	34.2	<b>51.6</b>	41.1
DIB (Strouse et al. 2016)	51.1	42.9	46.6
IQ (Ours), K=44	55.4	50.5	<b>52.9</b>
IQ (Ours), K=100	<b>61.2</b>	42.3	50.0
IQ (Ours), K=256	60.8	40.0	48.3

**Table:** Phoneme discovery results on isolated visual words from Flickr Audio. The baseline results are obtained with  $K = 44$ . All results use gold segmentation.

LibriSpeech Word	Token Precision	Recall	F1
Continuous representation			
CPC+k-means (Nguyen et al. 2020)	41.1	55.5	47.2
k-means	57.5	49.4	53.1
Discrete representation			
Gumbel VIB (Alemi et al. 2017)	39.9	65.1	49.5
DIB (Strouse et al. 2016)	61.8	61.2	61.6
IQ (Ours), K=39	<b>62.2</b>	<b>63.1</b>	<b>62.6</b>

**Table:** Phoneme discovery results on LibriSpeech visual words with ground-truth segment boundary. The baseline results are obtained with  $K = 39$ . All results use gold segmentation.

# Phoneme Discovery Results: Whole-sentence Dataset

TIMIT	Token F1	NMI	Boundary F1
(Harwath et. al. 2020)	-	35.9	54.2
(Yusuf et. al. 2020)	-	40.1±0.1	76.6 ±0.5
(Feng et. al. 2021, GP only, K=50)	-	36.8	70.5
+ gold segmentation	-	51.2	97.8
+ gold segmentation, K=39	-	50.4	97.1
(Ours) IQ, $ \mathcal{Y} =224$ , K=39	37.9±1.2	38.6±0.7	77.1±0.1
+ training on TIMIT	39.3	39.2	77.2
+ gold segmentation	51.8	59.8	98.0
(Ours) IQ, $ \mathcal{Y} =524$ , K=39	42.4±0.1	43±0.5	<b>79.4±0.1</b>
+ training on TIMIT	45.7	44.3	79.1
+ gold segmentation	55.7	61.6	98.0
(Ours) IQ, $ \mathcal{Y} =824$ , K=39	43.9±0.1	44.3±0.2	79.2±0.0
+ training on TIMIT	<b>46.0</b>	<b>45.2</b>	79.1
+ gold segmentation	55.3	<b>63.4</b>	98.0

Table: Phoneme discovery results on TIMIT

- ▶ More vocab helps
- ▶ Training on TIMIT helps
- ▶ Large (19%) gap between using or not using gold segmentation

# Visualization of Discovered Phonemes

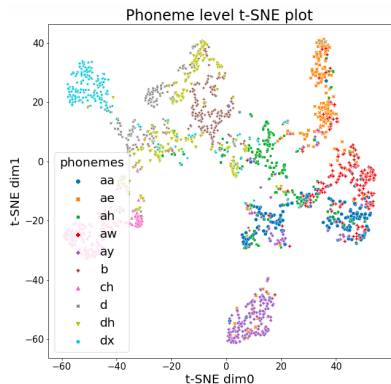


Figure: t-SNE plots of phoneme clusters discovered by IQ with gold segmentation on TIMIT

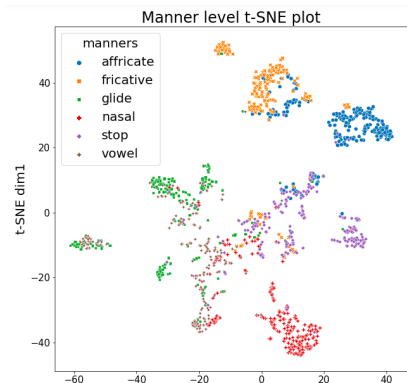


Figure: Manner-level t-SNE plots of phoneme clusters discovered by IQ with gold segmentation on TIMIT

# Codeword Distribution of Predicted Phonemes

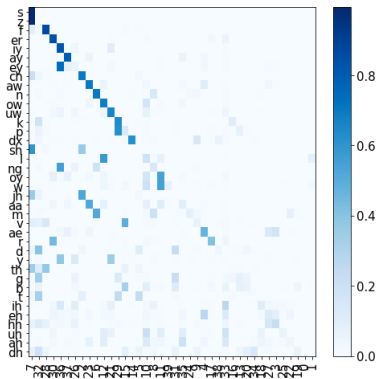


Figure: Codeword distribution of phoneme clusters discovered by IQ with gold segmentation on TIMIT

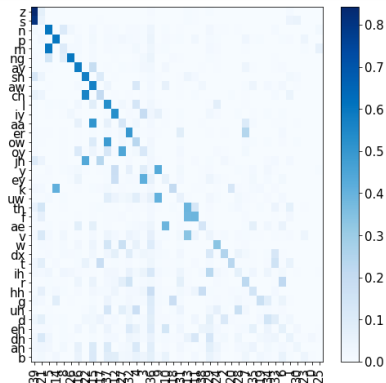
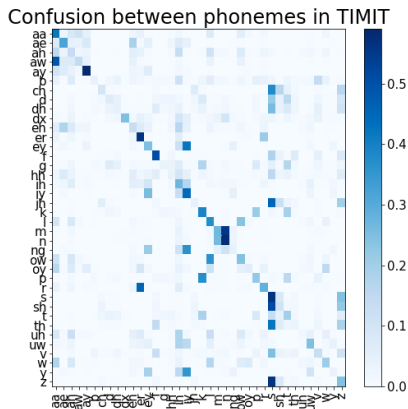


Figure: Codeword distribution of phoneme clusters discovered by IQ with predicted segmentation on TIMIT

# Confusion between Phonemes: Gold Segmentation Case

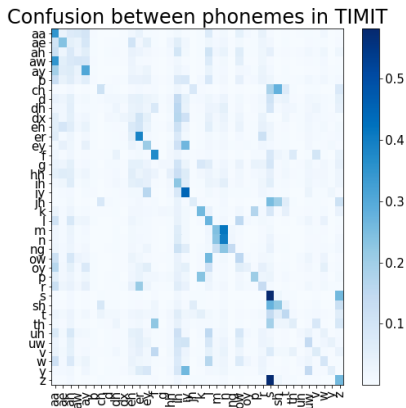


**Figure:** Confusion matrix of phonemes by IQ with gold segmentation on TIMIT

Phoneme Pair	Error Prob.
ae, aa	1.00
ch, ah	0.85
sh, s	0.82
ah, aa	0.82
aw, aa	0.77
z, s	0.75
n, m	0.73
p, k	0.70
r, er	0.67
iy, ey	0.60

**Table:** Top-10 most confusing phoneme pairs by IQ with gold segmentation on TIMIT

# Confusion between Phonemes: Predicted Segmentation Case



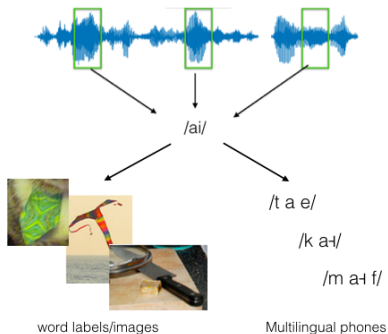
**Figure:** Confusion matrix of phonemes by IQ with predicted segmentation on TIMIT

Phoneme Pair	Error Prob.
ae, aa	1.00
ah, aa	0.81
z, s	0.78
aw, aa	0.72
ay, aa	0.54
n, m	0.49
sh, s	0.48
iy, ey	0.45
dh, ah	0.42
ch, ah	0.41



**Table:** Top-10 most confusing phoneme pairs by IQ with predicted segmentation on TIMIT

# Conclusion and Current Work

- ▶ Translation and compression are useful metaphors for exploiting multi-modal information in speech technology
- ▶ Current work: incorporate multilingual information into the IB framework; apply the model to a low-resource language called Mboshi



## Further Reading

-  [Wang et al, 2021] Align or Attend? Toward More Efficient and Accurate Spoken Word Discovery using Speech-to-image Retrieval. Liming Wang, Xinsheng Wang, Mark Hasegawa-Johnson, Odette Scharenborg, Najim Dehak.  
*ICASSP 2021.*
-  [Wang and Hasegawa-Johnson, 2020] A DNN-HMM-DNN Hybrid Model for Discovering Word-like Units from Spoken Captions and Image Regions. Liming Wang, Mark Hasegawa-Johnson.  
*Interspeech 2020.*